

# Intestinal permeability, gut-bacterial dysbiosis, and behavioral markers of alcohol-dependence severity

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**Alcohol dependence has traditionally been considered a brain disorder. Alteration in the composition of the gut microbiota has recently been shown to be present in psychiatric disorders, which suggests the possibility of gut-to-brain interactions in the development of alcohol dependence. The aim of the present study was to explore whether changes in gut permeability are linked to gut-microbiota composition and activity in alcohol-dependent subjects. We also investigated whether gut dysfunction is associated with the psychological symptoms of alcohol dependence. Finally, we tested the reversibility of the biological and behavioral parameters after a short-term detoxification program. We found that some, but not all, alcohol-dependent subjects developed gut leakiness, which was associated with higher scores of depression, anxiety, and alcohol craving after 3 wk of abstinence, which may be important psychological factors of relapse. Moreover, subjects with increased gut permeability also had altered composition and activity of the gut microbiota. These results suggest the existence of a gut-brain axis in alcohol dependence, which implicates the gut microbiota as an actor in the gut barrier and in behavioral disorders. Thus, the gut microbiota seems to be a previously unidentified target in the management of alcohol dependence.**

alcohol dependence | gut permeability | gut microbiota | gut-brain axis | behavior

**A**lcohol consumption is the world's third largest risk factor for disease and disability and accounts for 5.9% of all deaths worldwide (1). Although alcohol exerts large deleterious effects on health, studies to date on the pathophysiology of alcohol dependence have mainly focused on the influence of alcohol consumption on neuronal functions in the brain (2). A limited number of studies have, however, suggested that gut functions might also be altered by chronic alcohol consumption (3, 4). Accordingly, we and others have shown that actively drinking alcohol-dependent (AD) subjects exhibited increased intestinal permeability (IP) and increased plasma levels of gut-derived bacterial products such as lipopolysaccharides and peptidoglycans (5–8). These bacterial products activate specific inflammatory pathways that partially recover after a 3-wk period of alcohol abstinence (5, 6). These recent observations indirectly suggest the possibility that the composition of gut microbiota could be altered in AD subjects and related to behavioral symptoms.

The human gut microbiota consists of a complex community exceeding 100 trillion microorganisms (9) whose collective genome—the microbiome—encodes 100 times more genes than the human genome (10). It is now widely accepted that the gut microbiota should be considered an “exteriorized” organ placed within the body, which provides important physiological functions and is indispensable for human life (10–12). However, the

microbial composition or activity of the gut can be modified by diet, antibiotic use, host genetics, and other environmental factors (13). Data suggest that an imbalance of the intestinal microbiota, known as dysbiosis, may contribute to a variety of somatic diseases such as obesity (14), type 2 diabetes (15), inflammatory bowel diseases (16, 17), and allergy (18).

Recent studies suggest that the gut bacteria also influence brain functions and behavior and may therefore play a role in the development of psychiatric disorders (19). Indeed, in experimental studies, researchers observed that germfree mice displayed reduced anxiety-like behavior compared with mice with normal gut microbiota, demonstrating evidence of gut-to-brain interactions (20, 21). Further studies brought forward evidence that the pathways underlying the gut-brain axis are multiple and highly complex, involving brain biochemistry, the vagus nerve, proinflammatory cytokines, and tryptophan metabolism (22). Furthermore, inflammation and tryptophan/kynurenine pathways have been related to the development of depression-like behavior (23–26). In addition, gut bacteria produce neurotransmitters (serotonin, GABA, dopamine, acetylcholine), and bacterial

## Significance

**Alcohol-dependent subjects frequently develop emotional symptoms that contribute to the persistence of alcohol drinking. These subjects are also characterized by gastrointestinal disturbances. In this study, we showed that alcohol-dependent subjects with altered intestinal permeability had also altered gut-microbiota composition and activity and remained with high scores of depression, anxiety, and alcohol craving after a short-term detoxification program. These results are consistent with the existence of a gut-brain axis in alcohol dependence, in which the gut microbiota could alter the gut-barrier function and influence behavior in alcohol dependence. Therefore, this study opens a previously unidentified field of research for the treatment and the management of alcohol dependence, targeting the gut microbiota.**

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fermentation of dietary fiber induces the release of short-chain fatty acids, which are metabolites with potential neuroactive properties (22). Recent evidence also suggests that *Bacteroides fragilis* may prevent autism spectrum disorder in a mouse model (27) and that administration of probiotic *Bifidobacterium infantis* may have antidepressant properties in rats through changes in the tryptophan/kynurenine pathway (26). Although several animal studies support a relation between the gut microbiota and behavior, major questions remain regarding this relation in human health.

Depression and anxiety frequently develop in actively drinking AD subjects and play an important role in the negative reinforcement of drinking tendency (28). These factors are strongly related to the urge to drink, hereafter referred to as alcohol craving (29, 30), an important predictor of relapse after detoxification (31). The possibility that these psychological symptoms of addiction are related to a dysbiosis has so far never been investigated. The aim of the present study was to determine whether gut permeability could be associated to the severity of psychological symptoms (depression, anxiety, and craving) developed by human AD subjects. Then, we assessed the composition and activity of the gut microbiota and tested whether they are related to gut permeability. Finally, we analyzed whether alterations in gut permeability, microbiota composition, and metabolome are reversible after 3 wk of alcohol withdrawal, which is known to induce partial recovery of psychiatric symptoms (32).

## Results

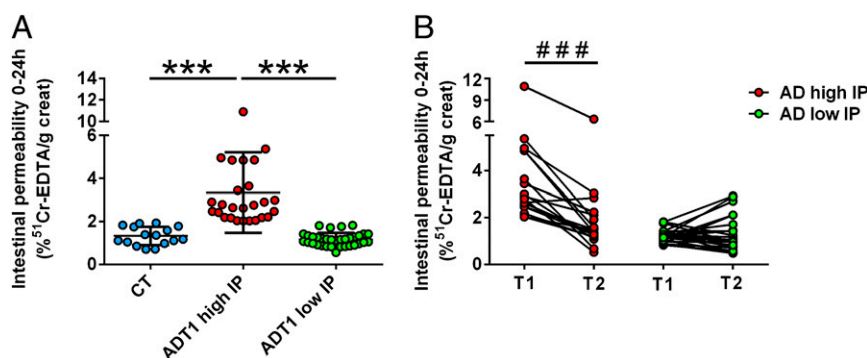
**IP Is Increased in a Subset of AD Subjects.** Intestinal permeability was measured by using the  $^{51}\text{Cr}$ -EDTA method. Results revealed that, at the second day of alcohol withdrawal (T1), 26 of 60 (43%) patients had elevated gut permeability whereas the remaining 34 (57%) patients had normal gut permeability compared with control subjects (Fig. 1A). Subjects were therefore split into two groups: AD patients with “high” IP and AD patients with “low” IP. This separation of subjects was calculated according to a deviance criterion at a threshold of 1.65 SDs of the mean of the control group. In a normal distribution, this deviance criterion corresponds to the fifth percentile, which is a common threshold to highlight deviance from the mean. The gut permeability level was not related to the amount of alcohol consumed, which was similar in both subgroups of patients ( $P = 0.72$ ). The demographic characteristics of the subjects included in this preliminary study are shown in Table 1. After 19 d of alcohol abstinence (T2), the gut permeability of AD subjects with high IP decreased significantly, the mean being

equivalent to that observed in the control group and in the group of AD subjects with low IP at T1 (Fig. 1B). The detailed results of small-bowel and colon permeabilities are presented in Table S1. We also examined the type of alcoholic beverages consumed by each subject and found that, on average, the consumption of beer was similar in both groups of AD patients (with high and low IP). However, the consumption of wine tended to be lower in AD subjects with high IP, and the consumption of spirits tended to be higher in AD subjects with high IP (Fig. S1).

**Gut-Barrier Alteration Is Associated with the Persistence of Psychological Symptoms at the End of Alcohol Withdrawal.** We assessed the psychological status of AD subjects because alcohol dependence is firstly a psychiatric disorder. At the beginning of detoxification, all psychological scores (depression, anxiety, and alcohol craving) were higher in AD subjects than in controls, as described in our previous study (4). Alcohol withdrawal is known to be associated with an improvement in psychological symptoms. Indeed, we found that, at the end of the detoxification, the depression and anxiety scores of AD subjects with low IP recovered completely and returned to the same level as that of controls. However, the AD subjects with high IP were still characterized by higher levels of depression, anxiety, and craving (Fig. 2A). Correlation analysis revealed that IP measured at the beginning of withdrawal was positively associated with all of the psychological symptoms measured at the end of the detoxification program (Fig. 2B). These results suggest that the gut-barrier function could be involved in the persistence of psychological symptoms after detoxification.

**The Gut-Microbiota Profile Is Altered in AD Subjects with High IP.** In a subset of 13 AD subjects, we analyzed the gut-microbiota composition and functionality and tested whether they could be related to the gut permeability. The demographic and clinical characteristics of these 13 subjects, which were also split into high and low IP groups, are shown in Table 1. Both groups of AD subjects had elevated concentrations of all inflammatory markers (TNF $\alpha$ , IL-1 $\beta$ , IL-6, IL-8, and IL-10) and of high-sensitivity C-reactive protein (Fig. S2). However, the level of IL-8 was significantly higher in AD subjects with high IP than it was in AD subjects with low IP, and this cytokine was positively correlated with IP ( $r = 0.79$ ,  $P = 0.01$ ).

The overall gut-microbiota composition was analyzed by pyrosequencing of the 16S rRNA gene, and profiles of microbial abundance were obtained from each subject. Nonmetric multidimensional scaling revealed that the bacterial profiles of AD subjects with a high IP differed from those of the controls and the AD subjects with low IP (Fig. 3A). We then investigated



**Fig. 1.** Intestinal permeability was measured by using the  $^{51}\text{Cr}$ -EDTA method. (A) Results revealed that, at T1, 26 of 60 patients had elevated gut permeability whereas the remaining 34 patients had normal gut permeability compared with control subjects. Subjects were therefore split into two groups: AD patients with “high” IP and AD patients with “low” IP. (B) A 3-wk alcohol withdrawal induced a total recovery of gut permeability in AD subjects with high IP. Subjects that relapsed during the detoxification program were excluded from analysis, and results were obtained in 43 subjects. AD, alcohol-dependent subjects; CT, control subjects; IP, intestinal permeability. T1 and T2 refer to the beginning and end of alcohol withdrawal, respectively. \*\*\* $P < 0.001$ , ### $P < 0.001$ .

**Table 1. Demographic and clinical characteristics of the control and alcohol-dependent groups in the preliminary and main studies**

Study data	CT	AD high IP	AD low IP
<b>Preliminary study</b>			
No. of subjects	15	26	34
Sex	8M/7F	19M/7F	28M/6F
Age, y	48 ± 11	48 ± 11	48 ± 10
BMI, kg/m <sup>2</sup>	26.1 ± 3.1	23.3 ± 3.9	24.8 ± 4.7
Alcohol intake, g/d	11 ± 7	188 ± 84***	177 ± 104***
<b>Main study</b>			
No. of subjects	15	6	7
Sex	8M/7F	3M/3F	5M/2F
Age, y	48 ± 11	52 ± 9	52 ± 10
BMI, kg/m <sup>2</sup>	26.1 ± 3.1	24.6 ± 4.5	26.4 ± 5.3
Alcohol intake, g/d	11 ± 7	126 ± 55 ***	145 ± 86 ***
Albumin, g/dL	nd	4.40 ± 0.36	4.46 ± 0.30
Prealbumin, mg/dL	nd	30.7 ± 6.8	34.4 ± 5.4

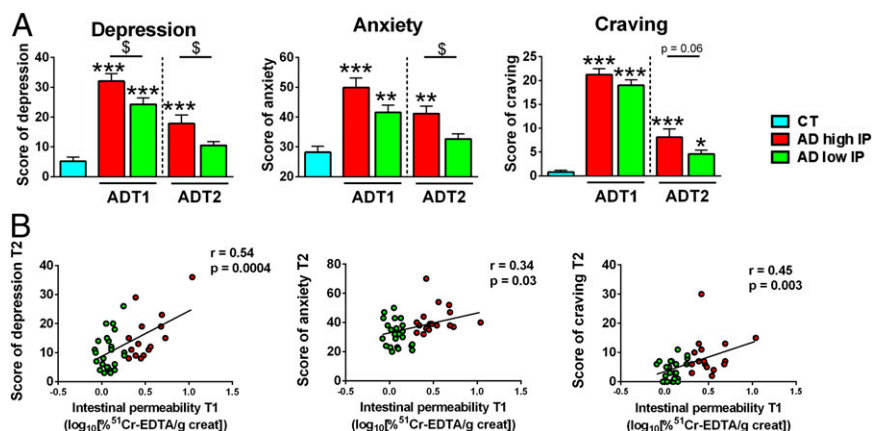
Data are means ± SD. AD high IP and AD low IP refer to alcohol-dependent subjects with high and low intestinal permeability, respectively. CT, control group; F, female; M, male; nd, not defined. \*\*\* $P < 0.001$  (AD vs. CT).

which bacterial groups were responsible for the changes observed in the profile of AD subjects with high IP. We did not find significant differences between the three groups of subjects at the phylum level of the bacteria. However, at the family level, bacteria from Ruminococcaceae and Incertae Sedis XIII were less abundant whereas those from Lachnospiraceae and Incertae Sedis XIV were more abundant in AD subjects with high IP compared with AD subjects with low IP and controls (Fig. 3B). At the genus level of the bacterial groups, AD subjects with high IP had a drastic decrease in the abundance of *Ruminococcus*, *Faecalibacterium*, *Subdoligranulum*, *Oscillibacter*, and *Anaerofilum*. All of these genera belong to the Ruminococcaceae family. The abundance of *Dorea*, which belongs to the family Lachnospiraceae, was increased in AD subjects with high IP. Additionally, the genera *Blautia* and *Megasphaera* were increased whereas *Clostridia* was decreased in AD subjects with high IP (Fig. 3B). These analyses also revealed that the relative abundance of the taxa mentioned above was similar in AD subjects with low IP and the controls.

The abundance of common bacterial species was also assessed by using quantitative PCR (qPCR) (Fig. 3C). We found that the total amount of bacteria was significantly lower in AD subjects with high IP compared with the other two groups. We then quantified the level of *Faecalibacterium prausnitzii*, a bacterial species known for its antiinflammatory properties (33) and found that it was drastically decreased (up to 4 log units) in AD subjects with high IP. This result is consistent with those obtained with the pyrosequencing approach. In addition, *F. prausnitzii* was negatively correlated with plasma IL-8 levels ( $r = -0.65$ ,  $P = 0.003$ ). Finally, we assessed the levels of *Bifidobacterium* spp. and *Lactobacillus* spp. and found that the level of *Bifidobacterium* spp. was significantly lower in AD subjects with high IP compared with controls and AD subjects with low IP. The decrease was not significant for the level of *Lactobacillus* spp.

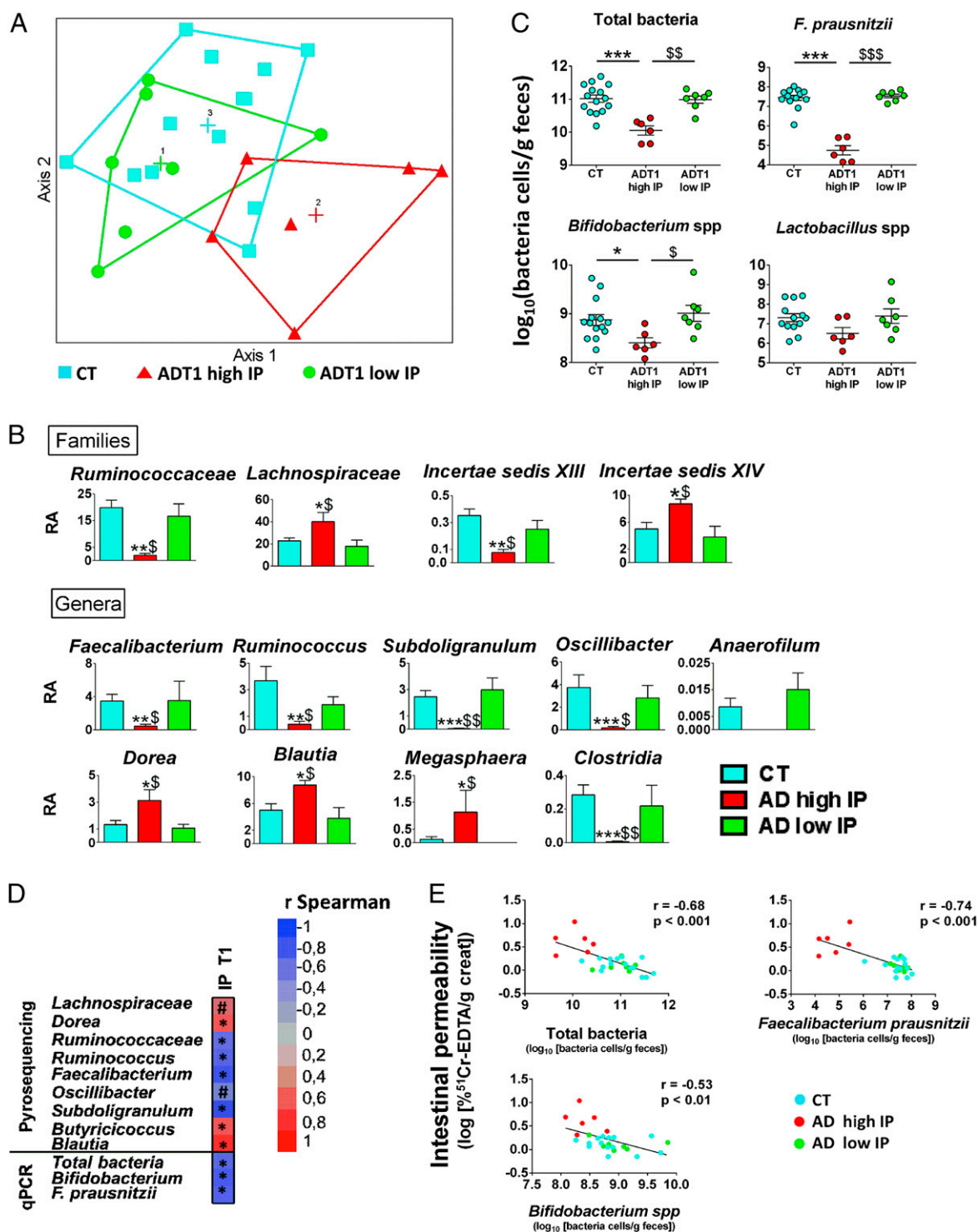
**An Altered Microbiota Composition Is Associated with Gut-Barrier Dysfunction.** Because only the AD subjects who presented increased IP had an altered gut-microbiota composition compared with control subjects, we hypothesized that some bacteria could be involved in the regulation of the gut-barrier function. We therefore tested the correlations between gut bacteria and IP at T1 (Fig. 3D and E). Our analysis revealed a negative correlation between IP and the total amount of bacteria, indicating that subjects with a low number of bacteria in the gut had a higher IP. Negative correlations were also found for the bacteria belonging to the Ruminococcaceae family, especially for *F. prausnitzii*. *Bifidobacterium* was also negatively correlated with IP. The genera *Dorea* and *Blautia* that were increased in AD subjects with high IP were positively correlated with IP. These results support the hypothesis that the microbiota composition may influence gut-barrier function.

**Effect of Short-Term Alcohol Withdrawal on Gut-Microbiota Composition.** The microbial composition of AD subjects was also assessed at the end of a 3-wk detoxification program (T2). We found that alcohol abstinence induced a significant increase in Ruminococcaceae in subjects with high IP. The genera *Ruminococcus* and *Subdoligranulum* also increased at T2 although not significantly. However, the family Erysipelotrichaceae and the genus *Holdemanella* decreased significantly from T1 to T2 in all AD subjects (Fig. 4A). Alcohol withdrawal had no impact on the abundance of the other families or genera that were found to be modified in AD subjects with high IP at T1. However, qPCR



**Fig. 2.** Increased intestinal permeability of AD subjects was associated with the persistence of psychological symptoms at the end of alcohol withdrawal. (A) Scores of psychological factors in CT and AD subjects at the beginning (T1) and end (T2) of withdrawal showing that AD subjects with high IP had higher score of depression, anxiety, and alcohol craving at T2. \* $P < 0.05$  vs. CT; \*\* $P < 0.01$  vs. CT; \*\*\* $P < 0.001$  vs. CT;  $^{\$}P < 0.05$ . (B) Associations of IP measured at T1 with psychological factors assessed at T2. Values are Pearson's moment correlation coefficients. AD subjects with high IP and low IP are depicted in red and green, respectively. AD, alcohol-dependent; CT, control; IP, intestinal permeability.





analysis revealed that the total amount of bacteria, as well as the levels of *Bifidobacterium* spp. and *Lactobacillus* spp., increased significantly during withdrawal in AD subjects with high IP and returned to the levels of controls (Fig. 4B). In contrast, the levels of *F. prausnitzii* remained unchanged at the end of the detoxification program (Fig. 4B).

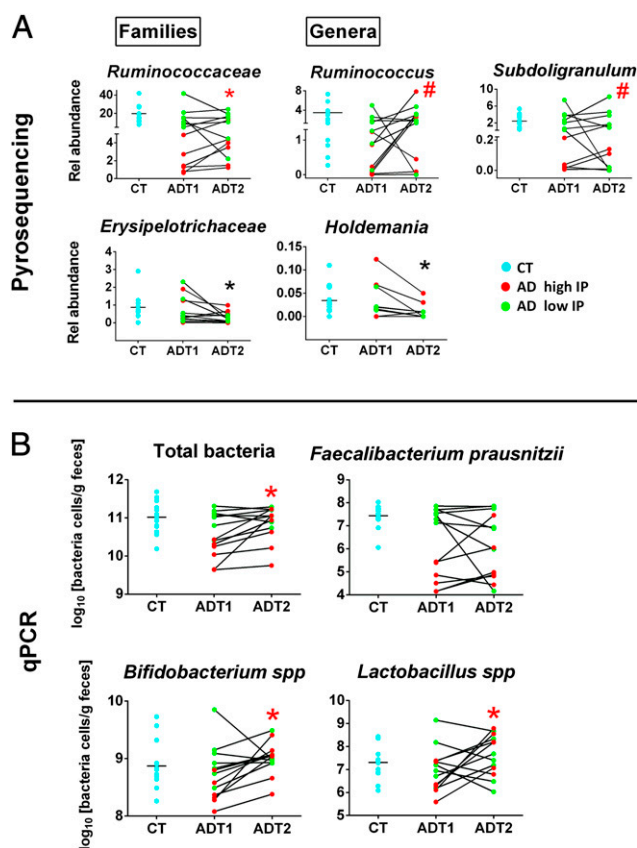
**The Metabolic Profile Is Altered in AD Subjects with Gut-Barrier Dysfunction.** Metabolomic analyses of fecal samples were also performed in the subset of 13 AD subjects to investigate whether bacterial metabolites could be associated with the altered gut-barrier function. A total of 155 volatile organic compounds (VOCs) were identified. Subject-specific compounds and metabolites present in less than 20% of subjects in both groups (AD and control) were discarded from statistical analysis. Ninety-nine VOCs remained and were considered in the analysis of metabolic profiles. No outlier was detected with principal component analysis. Thirty-eight compounds were common to 80% of the samples (Table S2). Some metabolites, including 2-methyl-1-butanol and methanethiol, were commonly found in controls but were absent in AD subjects (Table S3). On the other hand, metabolites belonging to alcohols, alkanes, and benzenes were found only in AD and not in control subjects (Table S4).

We then performed additional analyses that considered the gut-barrier function of the individuals. Partial least squares-discriminant analysis (PLS-DA) revealed that the metabolic profiles of AD subjects with high IP differed from those with low IP (Fig. 5A). The corresponding loading plot (Fig. S3), showing the metabolites, was used to identify discriminating metabolites, whose relative indices are shown in Fig. S4. Among them, phenolic and indolic compounds, which arise from the metabolism of aromatic amino acids, were found to be associated with the gut-barrier status. Phenol was present in high amount in patients with high IP whereas it was almost absent in subjects with low IP (Fig. 5B). However, the level of 4-methyl phenol was higher in AD subjects with low IP compared with AD subjects with high IP (Fig. 5B). Indole and 3-methyl indole were present in high amounts in AD subjects with low IP but were lower or almost totally absent in AD subjects with high IP (Fig. 5C).

## Discussion

**Gut Permeability Is Associated with the Severity of Behavioral Markers of Alcohol Dependence.** A limited number of human studies (7, 34–37) have analyzed gut permeability in AD subjects, who are often also diagnosed with alcoholic liver disease. Most of these studies reported an increase in small-bowel permeability whereas a few pointed to increased colon permeability. We have observed two different clusters of AD subjects with distinct permeability features. The high IP group of subjects had a large increase in small-bowel and colon permeabilities that recovered after a detoxification program. In the low IP group, the gut-barrier function remained normal throughout the process.

AD subjects also presented with psychological symptoms, including depression, anxiety, and alcohol craving, which contribute to the negative reinforcement process, a major mechanism involved in the persistence of alcohol dependence (38) that is related to a higher probability of relapse after detoxification. In our previous studies (5, 6), we observed that alcohol withdrawal induced only a partial recovery of these behavioral markers. The present study shows that the recovery of these markers is not evenly distributed among AD subjects. AD subjects with low IP recovered completely at T2 for depression and anxiety. This population seems to present with a less severe form of dependence where affective symptoms recover after detoxification. Conversely, in AD subjects with high IP, the scores of depression, anxiety, and craving remained largely increased, even when they had stopped drinking for more than 2 wk. These



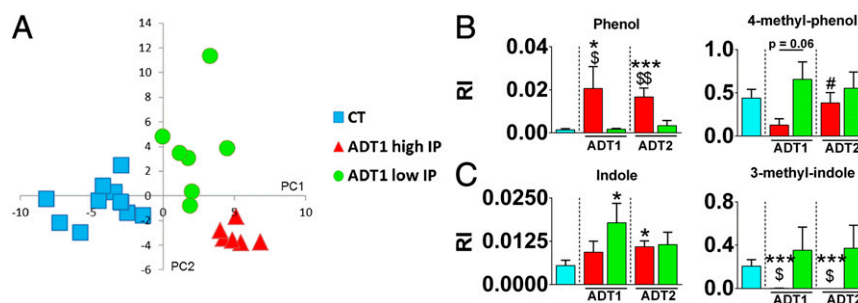
**Fig. 4.** Effect of alcohol withdrawal on gut-microbiota composition. (A) A significant increase in Ruminococcaceae was observed from T1 to T2 in AD subjects with high IP ( $*P < 0.05$ ). The genera *Ruminococcus* and *Subdoligranulum* also increased during withdrawal in AD subjects with high IP but not significantly ( $\#P = 0.11$ ). The family Erysipelotrichaceae and the genus *Holdemania* decreased significantly during withdrawal ( $*P < 0.05$ ) in all subjects. Results of relative abundance obtained from pyrosequencing are expressed in the percentage of sequences/taxon. (B) Abundance of total bacteria, *F. prausnitzii*, *Bifidobacterium* spp., and *Lactobacillus* spp. after 3 wk of alcohol abstinence as measured by qPCR.  $*P < 0.05$  in AD high IP from T1 to T2. AD high IP and low IP are depicted in red and green, respectively. AD, alcohol dependent; CT, control; IP, intestinal permeability. T1 and T2 refer to the beginning and end of alcohol withdrawal, respectively.

observations suggest that gut permeability is related to psychological status at the end of alcohol withdrawal.

If gut permeability does play a role in behavioral changes, one must pay attention to the potential mechanisms by which alterations of the gut-barrier function occur. The possibility of a toxic effect of ethanol on the small-bowel epithelium has been described in healthy subjects (34, 39) and in vitro studies (40–43). However, patients from both groups consumed the same amount of alcohol, which lessens the implication that ethanol itself is involved in permeability disturbance. The difference in IP in this context might be ascribed to changes in microbial composition and activity. This hypothesis is supported by a rodent study in which antibiotic treatment abolished the ethanol-induced increase in colonic paracellular permeability (44).

## Increased Gut Permeability Is Associated with Dysbiosis in AD Subjects.

Consistent with the hypothesis that dysbiosis is linked to gut-barrier alteration, only the subgroup of AD subjects with high IP had altered gut-microbiota composition, which consisted of a large decrease in the overall bacterial load, a drastic decrease in abundance in the Ruminococcaceae family (*Ruminococcus*, *Faecalibacterium*, *Subdoligranulum*, *Oscillibacter*, and *Anaerofilum*),



**Fig. 5.** Metabolomic profiling of AD subjects with high and low IP. (A) Score plots showing clustering of the metabolite profiles analyzed with partial least squares-discriminant analysis. (B and C) Volatile organic compounds belonging to the chemical classes (B) phenols and (C) indoles. \* $P < 0.05$  compared with CT, \*\*\* $P < 0.001$  compared with CT, \$ $P < 0.05$  AD high IP vs. AD low IP at the same study time, \$\$ $P < 0.01$  AD high IP vs. AD low IP at the same study time, # $P < 0.05$  compared with ADT1 high IP. AD subjects with high IP and low IP are depicted in red and green, respectively. CT subjects are depicted in blue. AD, alcohol-dependent subjects; CT, control subjects; IP, intestinal permeability; RI, relative indices. T1 and T2 refer to the beginning and end of alcohol withdrawal, respectively.

and an increase in abundance in the Lachnospiraceae family (*Dorea*) and the genus *Blautia*.

Preclinical studies have shown that chronic ethanol administration induces in rats a dysbiosis (45), and in mice a decrease in the level of Ruminococcaceae (46), or a decrease in the level of Firmicutes and an increase in Bacteroidetes (47). In humans, few studies have evaluated the gut microbiome of AD subjects and never in relation to gut permeability. Kirpich et al. (48) observed a decrease in *Bifidobacterium* and *Lactobacillus* in the stool cultures of AD subjects compared with those of healthy controls. In 2012, Mutlu et al. showed alterations of the mucosal-associated colonic microbiome in only a subset (31%) of AD subjects (49), indicating that not all alcoholics were dysbiotic, which is in line with our observation that only part of the AD patients had an altered gut-microbiota profile. Furthermore, in the same study, the dysbiotic group included actively drinking and sober alcoholics (>1 mo), suggesting long-lasting dysbiosis, which is consistent with the incomplete recovery of the gut microbiota that we observed after 3 wk of abstinence. Interestingly, we observed that *Lactobacillus* spp. and *Bifidobacterium* spp., as well as bacteria from the family Ruminococcaceae, increased during alcohol abstinence, suggesting that these bacteria, known to have a beneficial impact on gut-barrier function (50), could contribute to the recovery of IP at T2. This suggestion is supported by the strong negative correlations that we observed between IP and *Bifidobacterium* as well as Ruminococcaceae bacteria, particularly *F. prausnitzii*. This species is also depleted in Crohn's disease (33) and ulcerative colitis (51) and has been shown to have antiinflammatory properties both in vitro and in vivo (33) and therefore seems to be crucial for gut homeostasis. Indeed, supernatants from *F. prausnitzii* cultures inhibited IL-8 secretion and NF- $\kappa$ B activation in Caco-2 cells stimulated with IL-1 $\beta$  (33). In our study, AD patients who presented with low levels of *F. prausnitzii* had higher plasma IL-8 levels, and these variables were significantly and negatively correlated. Taken together, our results show that alterations in microbial composition are associated with increased IP and increased plasma levels of proinflammatory cytokines.

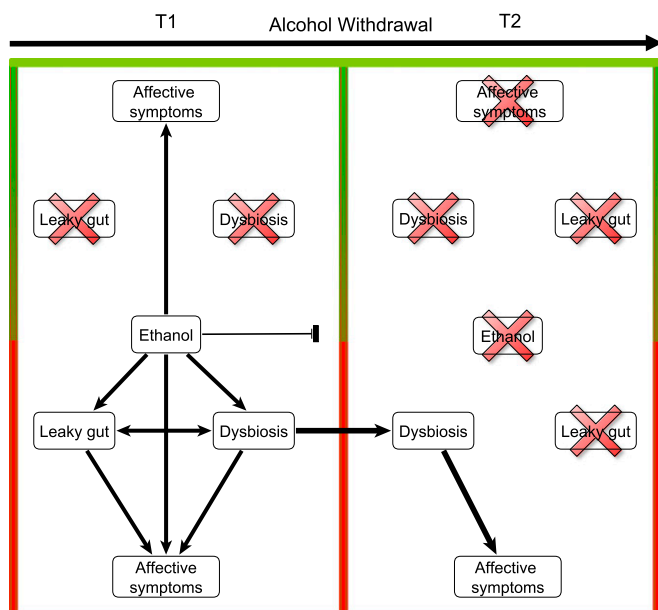
We also hypothesize that metabolites produced by gut bacteria might be at the origin of gut-barrier dysfunction and inflammation. The metabolic profiles calculated by PLS-DA were clearly distinct between control, low IP, and high IP AD subjects. Overall, this observation supports the existence of a relation between metabolites and IP. Basically, two types of microbial fermentation occur in the colon: saccharolytic fermentation and proteolytic fermentation (52). The former is generally considered to be beneficial to the host, and the latter is presumed to be detrimental and might be involved in the etiology of colon cancer

and ulcerative colitis (53). The main products of carbohydrate fermentation (i.e., SCFA), which present with beneficial functions (54), were not different between AD and control subjects and unrelated to IP. Metabolite differences among groups therefore resulted mainly from the protein fermentation that leads to the formation of branched-chain fatty acids, indolic compounds, and potentially toxic metabolites such as phenolic and sulfur-containing compounds. The production of phenolic compounds in the gut depends on microbial composition (55) or microbial metabolic activities (56). Phenol that derives from tyrosine breakdown was largely increased in high IP AD subjects compared with the other two groups. The toxic effect of phenol on intestinal epithelial cells has been demonstrated in two independent in vitro studies (57, 58), suggesting that phenol is a potential driver of gut-barrier alterations. Another phenolic compound, 4-methyl phenol (also called *p*-cresol), was decreased in AD subjects with high IP compared with the other two groups and increased upon alcohol withdrawal; the latter effect could be associated with the increase in *Lactobacillus* spp., *Bifidobacterium* spp., and Ruminococcaceae from T1 to T2 observed in AD subjects with high IP because these genera and this family have been shown to be related to the production of *p*-cresol (59–62). Tryptophan bacterial metabolism results in a large variety of indolic compounds (62–65). In in vitro studies, indolic compounds were shown to improve intestinal cell barrier function and to decrease proinflammatory IL-8 expression (66, 67). Interestingly, the level of 3-methylindole was completely blunted in high IP AD subjects who also presented with a higher IL-8 plasma level. Moreover, a study showed that the beneficial impact of probiotics on gut-barrier function was induced by a protein factor (50). Taken together, these observations are consistent with a protective role of gut microbes that produce indolic compounds and *p*-cresol on the gut barrier and inflammation and with a detrimental role of bacteria producing phenol.

**Study Limitation.** The existence of distinct populations of AD subjects with differences in intestinal permeability that are related to differences in psychological symptoms could be established on a large group. There is, however, one limitation of this study that is the size of the sample for which we could obtain large details on the composition and function of the gut microbiota and on inflammation. The small size of the sample is due to the fact that deep analysis of gut microbiota is expensive and time consuming and that we used a test–retest design that doubles the number of samples.

In conclusion, to our knowledge, this is the first study to investigate gut permeability and gut-microbiota composition and activity and their relationship with the behavioral markers of addiction severity in AD subjects (Fig. 6). The observation that





**Fig. 6.** Model representing the relationship among alcohol consumption, gut dysfunction, and affective symptoms in the two subsets of alcohol-dependent subjects at both times of alcohol withdrawal. At the beginning of withdrawal (T1), a subset of AD subjects developed affective symptoms that were likely induced by ethanol and that were not associated with gut disorders. In the other subset of AD subjects, alcohol consumption was associated with gut leakiness, gut-microbiota alterations, and affective symptoms. After 3 wk of abstinence (T2), affective symptoms recovered completely in the subset of AD subjects that did not present with gut dysfunction at T1. In the other subset of AD subjects, the gut barrier was restored upon abstinence, but gut dysbiosis was still present at T2 and might be responsible for the persistence of affective symptoms. From these observations, we hypothesize that gut-microbiota alterations could be associated with a more severe form of alcohol dependence and a higher probability of relapse through negative reinforcement mechanisms linked to higher levels of depression, anxiety, and alcohol craving.

some, but not all, AD subjects develop gut leakiness indicates that chronic alcohol dependence is necessary but not sufficient to cause gut dysfunction. Thus, other cofactors besides direct toxicity of alcohol may be involved. Here, we showed that AD subjects presenting with increased IP also had altered gut-microbial composition and activity. These results strongly suggest that the bacteria present in the gut and/or the metabolites produced by the bacteria may be involved in the regulation of the gut-barrier function and could therefore contribute to the indirect toxicity of alcohol consumption. Moreover, we showed that AD subjects with gut dysfunction had higher scores for depression, anxiety, and alcohol craving at the end of the detoxification program, which is expected to influence the probability of relapse through a negative reinforcement mechanism. These results suggest the existence of a gut–brain axis in alcohol dependence, in which the gut microbiota could alter the gut barrier and influence the severity of alcohol-dependence behaviors. However, the mechanisms underlying the communication between the gut and the brain have not been analyzed in this study but deserve further investigation, in particular the involvement of the vagus nerve as well as the tryptophan/kynurenine pathway in alcohol-dependent subjects.

Overall, the gut microbiota seems to be an innovative target in the management of patients who are being treated for alcohol dependence. In view of the bacteria that were modulated upon alcohol consumption, which characterize increased gut permeability, we propose that more attention be paid to the nutritional follow-up of abstinent patients. Probiotics and prebiotics are

known to improve the composition of the gut microbiota in favor of bacteria that decrease gut-barrier alterations (50, 68) and inflammation (13, 69), and they may improve mood and behavior in several pathological contexts (27, 70–73). Therefore, the use of these nutritional tools to improve gut function and mental health in patients diagnosed with alcohol-use disorders deserves interest.

## Methods

**Subjects.** A group of AD subjects presenting with a diagnosis of alcohol dependence according to the *Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition* (74) who were clinically evaluated by a psychiatrist (P.d.T.) were incorporated into the study when admitted to the gastroenterology ward for a 3-wk detoxification and rehabilitation program. Subjects had kept drinking until the day of admission to the detoxification ward, or the day before, and were tested twice, on the day after admission (T1) and on day 19 (T2) corresponding to the last day of detoxification. Patients who had metabolic disorders such as obesity [body mass index (BMI) > 30 kg/m<sup>2</sup>] and diabetes, inflammatory bowel disease, other chronic inflammatory diseases (such as rheumatoid arthritis), or cancer, as well as those who took antibiotics, probiotics, glucocorticoids, or nonsteroidal antiinflammatory drugs during the 2 mo preceding enrollment were excluded from the study. Transient liver elastography (Fibroscan) was performed in all patients on the day of admission to quantify liver stiffness, which correlates with fibrosis grades according to the Metavir classification system of fibrosis (75). From this evaluation, only patients without significant fibrosis (F0 and F1 scores) were selected. Patients with overt cirrhosis, on the basis of laboratory and imaging tests, were excluded (*SI Methods*). A complete medication and medical history was taken at admission, as well as basic demographic and clinical data related to nutritional status. For a preliminary study, we could select a group of 60 AD subjects that were tested at T1 for intestinal permeability and psychological symptoms. Among them, 44 subjects who had remained abstinent until the end of the detox period were also tested at T2. This sample of subjects was split into high and low IP groups. From this preliminary group, a subset of 13 subjects (8 men and 5 women) was tested for additional gut-microbiota composition and functionality measurements both at T1 and T2. AD subjects were compared for intestinal permeability, psychological dimensions, and gut-microbiota composition and functionality with 15 age-, sex-, and BMI-matched controls who socially consumed less than 20 g of alcohol per day.

**Assessment of Alcohol Consumption.** The amount of alcohol consumed the week before hospitalization was evaluated with the time line follow-back approach (76), as detailed in de Timary et al. (77).

**Measurement of IP.** IP was assessed by using the radioactive probe <sup>51</sup>Cr-EDTA as described previously (5). Briefly, after an overnight fast and emptying of the bladder, patients drank a Nutridrink (200 mL, 150 kcal/100 mL) (Nutricia) containing 50  $\mu$ Ci (1.85 MBq) <sup>51</sup>Cr-EDTA. Urine was collected for 24 h. Radioactivity was measured in urine collections with a gamma counter (Cobra5003; Canberra Packard). Urinary excretion was expressed as the percentage of the ingested dose (ID) normalized to creatinine concentration (% ID/g creatinine).

**Gut-Microbiota Analysis.** Gut microbiota was analyzed by using two culture-independent methods: pyrosequencing and qPCR of 16S rDNA. These methods are complementary because pyrosequencing allows the creation of a qualitative bacterial profile that considers most of the bacteria present in the gut whereas qPCR is used to quantify specific bacterial targets of particular interest. Moreover, qPCR is more sensitive and can quantify some bacteria that are not detected with pyrosequencing, such as *Bifidobacterium* spp.

Fecal samples were collected in a sterile container and immediately stored at –80 °C until further processing. Bacterial DNA extraction was performed by using the repeated bead beating procedure with a modified protocol for the QIAamp Stool DNA Mini Kit (Qiagen).

A detailed description of both methods is provided in *SI Methods*, and primer sequences are mentioned in *Table S5*.

**Analysis of VOCs in Fecal Samples.** Stool samples were transferred to a headspace vial, and VOCs were analyzed on a gas chromatography-mass spectrometry quadrupole (Trace GC, Thermoquest; DSQ II, Thermo Electron), which was coupled online to a purge-and-trap system. Before analysis, 125-mg fecal aliquots were suspended in 5 mL of water. Diethyl acetic acid

(1.5 mg/L) was added as an internal standard. A magnetic stirrer, sulfuric acid, and a pinch of sodium sulfate were added to the sample to acidify and salt out the solution. The chromatograms thus obtained were processed by using AMDIS (Automatic Mass Spectral Deconvolution and Identification Software, version 2.71) provided by the US National Institute of Standards and Technology (NIST). Identification of the metabolites in the samples was achieved by manual visual inspection of the mass spectra of unknown peaks with the NIST library. All compounds were relatively quantified compared with diethyl acetic acid. A detailed description of the method is provided in *SI Methods*.

**Assessment of Mood and Psychological Symptoms.** At the beginning and end of detoxification, all patients were tested for depression, anxiety, and alcohol craving with the French versions of self-reported questionnaires: the Beck Depression Inventory, the State-Trait Anxiety Inventory (form YA), and the Obsessive-Compulsive Drinking Scale. These tests have been described in detail previously (5).

**Statistical Analysis.** Statistical analyses were performed by using SPSS, version 20.0 (IBM Corp). Assumptions of normality and equality of variances were checked with the Kolmogorov-Smirnov and Levene tests, respectively. If the assumptions were not met, Kruskal-Wallis tests were used to compare controls, AD subjects with high IP, and AD subjects with low IP. If the assumptions were met, parametric ANOVAs were performed. Significant ANOVA results were followed by Bonferroni's post hoc test for pairwise comparisons. The effect of alcohol withdrawal was assessed by using Wilcoxon or paired *t* tests to compare data at T1 and T2. Bivariate correlations were performed with Spearman's rho or Pearson's product-moment correlation coefficient, depending on data assumptions.

The absolute number of sequences for identified and unclassified taxa obtained by pyrosequencing in each sample was transformed by using the Hellinger method after removing taxa representing less than 0.01% of total abundance. The resulting matrix was used to construct nonmetric multidimensional scaling by using Bray-Curtis dissimilarity with PCOrd, version 6.08 (MjM Software). The Bray-Curtis coefficient is a statistic used to quantify the compositional dissimilarity between two different bacterial profiles.

Relative abundances of taxa were analyzed by using Kruskal-Wallis tests, and qPCR data were analyzed with ANOVA after log-transformation.

Unscrambler X, version 10.2 (CAMO A/S) was used to perform cluster analyses of metabolite profiles. Subject-specific compounds (those detected in only one person) and metabolites present in less than 20% of subjects in both groups (AD and control) were discarded from statistical analysis. Ten control samples were available for this analysis. Principal-component analysis was applied to detect outliers. Clustering of similar metabolite patterns of the samples according to control, AD with high IP, and AD with low IP groups at T1 was then performed by using PLS-DA and was presented as a score plot. The corresponding loading plot, showing the metabolites, was used to identify discriminating metabolites. Kruskal-Wallis tests were applied to compare the relative indices of metabolites between controls, AD subjects with high IP, and AD subjects with low IP.

**Study Approval.** The study protocol was approved by the local ethical committee, and all subjects signed an informed consent form before the investigation (B40320096274; Commission d'Éthique Biomédicale Hospitalo-Facultaire de l'UCL).

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